#### ) HEALTH

ical studies/Proven research. Retrieved Octo-1.com/research/research\_StudiesResearch.

:: Safe, healthy & natural. Retrieved Octocom/benefits/benefits\_WeightLoss.shtml. etrieved August 3, 2006, from http://www.

de la Bonita in Puerto Morelos, Mexico: ptember 12, 2006, from http://spas.about.

y of the mineral waters. Retrieved Septemspastatepark.org/springs.html.

, early health spa. Retrieved October 18, on/Ar-Bu/Battle-Creek-Sanitarium-Early-

er 23, 2006, from http://en.wikipedia.org/

and awards. Retrieved September 12, 2006, erview.jsp?spaID=37&pageType=highlights. ember 12, 2006, from http://www.spafinder.

m Rancho La Puerta. Rancho La Puerta,

report A3. 4(2)

School of Medicine. (1997). General infortember 12, 2006, from http://www6.miami.

s, packs and herbs. Kneipp USA. Retrieved ippus.com/Hydrotherapy-%11-The-Water--%28The-Water-Course%29.html.

, M.D. © 2006, WebMD Inc. Alternative spitals offer services such as acupuncture, CBS News. Retrieved October 15, 2006, 2006/07/20/health/webmd/main1823747.

spas. New York: Harper & Row. ieved October 3, 2006, from http://www.

# Chapter Three

# EMOTION AND DISEASE: INTERFACING PSYCHOLOGY AND HEALTH USING A BIOPSYCHOSOCIAL MODEL

Antonio E. Puente, PhD and A. Griffin Pollock, BA

Psychology's history has seen two faces of the discipline, one academic/research and the other applied or clinical. Due to its origin within the psychiatry departments of the Veterans Administration system after World War II, the application of psychology quickly become focused—indeed synonymous—with mental health. With the advent of licensing laws and third-party reimbursement, the focus of clinical psychology has been largely, if not exclusively, on mental health. After all, the origins of clinical psychology were largely centered on psychiatric services.

In recent years, the expansion of clinical psychology outside the boundaries of mental health has yielded a number of theoretical and practical opportunities that have expanded the horizons of clinical psychology and, subsequently, of psychology itself. Of the expansions, one of the more robust has been the application of psychological principles to health and medicine. For the purposes of this chapter, health will be considered as all that is disease free but is biological and pertaining to humans, whereas medicine will be focused on the disease process. In many ways, this resembles the difference between health psychology, which often focuses on healthy behaviors, and behavioral medicine, which almost exclusively focuses on the amelioration or control of a disease process.

This chapter focuses on the interface between the application of psychology and the disease process. Of particular interest is the relationship between emotion and disease. Whereas the traditional area of psychosomatic medicine has a long history of attending to this interface, its focus has historically been

"medical" and psychiatric. The current focus is on how emotion affects the disease process and, in turn, how the disease process affects emotion. Further, as a means of providing a model for how this reciprocal deterministic model of disease and psychology interact, the primary focus will be on neurological diseases. These diseases are by far the most challenging for medicine as a whole, especially when the rehabilitation and control, including pharmaceutical, are involved. In addition, this focus is in greater synchrony with psychology because the role of mental processes is more directly involved (versus, for example, digestive diseases).

The chapter first defines emotion—including traditional versions—explaining the similarities and differences between positive and negative emotion and couching the concept of emotion within a neuropsychological perspective. Next, the chapter reviews the interface between emotion and disease process using a health psychology/neuropsychological perspective. The third section focuses on immunological diseases, especially multiple sclerosis and HIV/AIDS. This section will set the foundation for determining how their understanding has, in turn, helped develop viable theories of emotion and disease. The fifth section addresses alternative methods of understanding and altering immunity. A summary section reviews the major points developed in the chapter and provides methodological, clinical, and theoretical perspectives for the future.

#### **DEFINING EMOTION**

52

Emotion can be defined in numerous ways: traditional interpretations, based on concepts of valance, and neuropsychological. Whereas the concept of emotion has been defined repeatedly in the history of psychology and popularized by researchers such as Cannon and Selye, more modern and empirical interpretations such as those provided by Carver, Scheier, and colleagues as well as Taylor may be more applicable to the purposes of this chapter. Carver, Scheier and Weintraub (1989) have suggested that emotion is a response to stressors that are often environmental and overt and just as powerful as internal ones. In many respects, this concept follows Selye's ideas of a load being placed on a system and that system's ability to handle the load. The assumption would be that the lighter the perceived load is (since the perception may be more critical than the reality in terms of a response), the lower the emotionality associated with the load would be. A more cognitive perspective has been developed by Taylor and colleagues (1983) and continues to evolve. This perspective suggests that the individual's adjustment to a threatening event results in a cognitive adaptation, whether it be a habituation (as in the case of Wolpe's systematic desensitization) or a more resilient understanding and redirecting of goaldirected activity (as in the case of Meichenbaum's cognitive restructuring).

Regardless, there are now widesy stressful life events, such as those (e.g., death, divorce, etc.) ultimately tioning and thus the development health psychology and behavioral acentury of history. However, the ac and disease has seeped down to the see the new health and behavior as American Medical Association's 2007). The assumption held by most tion, especially over the long term, irreversible, and, in some cases, leth

What is not so clear, however, recipient of change or threat as a thing as positive as a holiday or a duce significant changes that, in undesirable effects to both the boa of a good thing, especially for a lative. Further, the existence of pos as restorative. In summary, cogniti of the disease process and the dev by emotion.

Negative emotions have histori morbidity and mortality (Friedma ing work with cardiovascular diseapsychological stress, especially negwith the development of cardiova leagues postulated that a pattern of as the Type A personality, could intioning, including cortisol levels, a significant cardiovascular disease—congestive heart failure, and myor of the original concepts of Type a types of individuals and what types ily negative, would be associated a personality disorder.

More recent efforts, partially spu of Seligman (2005), have focused o the impact of such on the understand leagues (2005) have explored the rehope and curiosity—and three disrespiratory tract infections. Hope ar

#### HEALTH

ocus is on how emotion affects the case process affects emotion. Further, v this reciprocal deterministic model primary focus will be on neurologimost challenging for medicine as a and control, including pharmaceutis in greater synchrony with psycholis more directly involved (versus, for

uding traditional versions—explaining positive and negative emotion and in a neuropsychological perspective. Detween emotion and disease process ogical perspective. The third section ecially multiple sclerosis and HIV/on for determining how their underable theories of emotion and disease. Nethods of understanding and alterws the major points developed in the nical, and theoretical perspectives for

vays: traditional interpretations, based logical. Whereas the concept of emoistory of psychology and popularized e, more modern and empirical interver, Scheier, and colleagues as well as rposes of this chapter. Carver, Scheier nat emotion is a response to stressors and just as powerful as internal ones. elye's ideas of a load being placed on a le the load. The assumption would be ce the perception may be more critical the lower the emotionality associated ve perspective has been developed by ies to evolve. This perspective suggests reatening event results in a cognitive (as in the case of Wolpe's systematic derstanding and redirecting of goalichenbaum's cognitive restructuring). Regardless, there are now widespread understanding and acceptance that stressful life events, such as those measured by the Holmes and Rahe scale (e.g., death, divorce, etc.) ultimately, directly or indirectly, affect physical functioning and thus the development and/or exacerbation of disease. Indeed, health psychology and behavioral medicine have no more than a quarter of a century of history. However, the acceptance of the interface between emotion and disease has seeped down to the level of insurance reimbursement (e.g., see the new health and behavior assessment and intervention codes from the American Medical Association's Current Procedural Terminology manual, 2007). The assumption held by most is relatively straightforward: strong emotion, especially over the long term, will eventually produce serious, sometimes irreversible, and, in some cases, lethal results.

What is not so clear, however, is that emotion can be perceived by the recipient of change or threat as either positive or negative. Indeed, something as positive as a holiday or celebratory event (e.g., wedding) can produce significant changes that, in the long run, appear to produce similar undesirable effects to both the body and the mind. In other words, too much of a good thing, especially for a long period, can be in the end rather negative. Further, the existence of positive emotion can be prophylactic as well as restorative. In summary, cognitions about one's health and the perception of the disease process and the development of its symptoms can be affected by emotion.

Negative emotions have historically been reported to be associated with morbidity and mortality (Friedman & Booth-Kelley 1987). In groundbreaking work with cardiovascular disease, Friedman and colleagues reported that psychological stress, especially negative and long term, could be associated with the development of cardiovascular disease. Further, Friedman and colleagues postulated that a pattern of personality and of coping with stress, such as the Type A personality, could inevitably result in altered physiological functioning, including cortisol levels, and subsequently with the development of significant cardiovascular disease—including, but not limited to, hypertension, congestive heart failure, and myocardial infarctions. Since the development of the original concepts of Type A personality, a shift to determining what types of individuals and what types of specific emotional experiences, primarily negative, would be associated with the development of a "cardiovascular" personality disorder.

More recent efforts, partially spurred by the positive psychology movement of Seligman (2005), have focused on positive rather than negative emotion and the impact of such on the understanding and control of disease. Richman and colleagues (2005) have explored the relationship between two positive emotions—hope and curiosity—and three diseases—hypertension, diabetes mellitus, and respiratory tract infections. Hope and curiosity can be considered to be emotions

54

related to "interest." Hope motivates actions and has a subsequent effect on both thought and behavior. It is a forward-looking emotion that is accompanied by positive expectations about the future. Curiosity is a desire to explore, to understand as well as to integrate new experiences and new knowledge. Presumably, such information would, in turn, affect the evolution of goal-directed behavior. Their findings, as well as the findings of others, suggest a strong correlation between positive affect and enhanced immunological functioning. They reported evidence that positive emotions may have a protective effect on the disease process, which included cardiovascular, metabolic, and respiratory systems.

According to Chesney and colleagues (2005), the effects of positive emotion seem to do more than counteract or cancel the effects of negative emotion. Positive emotion has its own protective value, independent of negative emotion. Further, these authors postulate that the experience of positive emotion may actually boost the body's immune system. Positive emotion may ensure the individual to engage in healthy behavior and to seek help when help is needed. Also, positive emotion may assist in helping one adapt in a healthy way to new or stressful situations. Nevertheless, the interface between emotion and disease should be considered, at least relative to the interface between negative emotion and disease, to be in its early stages of development.

The relationship between emotion and neuropsychology is even less developed than that of positive emotion and disease. Examination of the neuropsychological literature indicates a preponderance, to a fault, of cognition as the primary, if not the sole, factor in neuropsychological processes. For example, over the first decade of publication of the highly regarded journal Neuropsychology Review, only two articles focused on the role of emotion in neuropsychological processes. Historically, emotion, almost exclusively negative, was a result of diminished capacity due to the acquisition of a brain dysfunction. For example, individuals became depressed as cognitive capacity (e.g., memory) was reduced secondary to a dementing process such as Alzheimer's disease. However, the pioneering research of Sperry (1982) with split-brain patients provided an initial glimpse into the right hemisphere. It turns out that this hemisphere, though relatively "silent" due to the language centers being primarily in the left (or dominant) hemisphere, is directly associated with emotion. The first author has seen unpublished or undistributed films of the emotion emitted but denied (at least verbally) by male split-brain patients who had been shown sexually explicit films to the right hemisphere. There is increasing clinical and more recent empirical evidence that alteration of right hemisphere integrity (e.g., head trauma) results in perceived positive emotional changes such as disinhibition and, in other cases, negative

motional changes such as emotiona and emotional

## THE EMPIRICAL INTERFACE OF NEUROPSYCHOLOGY WITH EM(

This section focuses on the idea and that such emotion can be either disease process. As mentioned prevelationship expresses itself in diseastion of nervous system dysfunction.

Research on HIV/AIDS has prod trates the close relationship between vous system (Kemeny et al., 1994). link between immunological process or affecting the nervous system. Mai described multiple neuroendocrine 1 hypothalamic-pituitary-adrenal (I (HPG), hypothalamic-pituitary-thyr hormone axes and how they interface modulated by glucocorticoids. These late the immune system. Thus, indire nity to disease and inflammation. F axis results in extended activation of immune system. Such an inhibition i infection. Examples of diseases that erbated include inflammatory arthriv asthma, and atopic dermatitis. Furthe coids were only immunosuppressive. they are important in immunomodul: a way similar to the dichotomy between

The HPT axis hormones have be cells. The hormones included thyro ronine (T3), and thyroxine (T4). To immunity can result from either the between the HPT and HPA axes. To tem in two ways. It directly affects the Indirectly, it does so through interact The probability of this occurring is in contribute to changes in one's suscept diseases as well as the expression of the contribute to the contribute to changes in one's suscept diseases as well as the expression of the contribute to the contribute to the contribute to changes in one's susceptions.

#### **HEALTH**

ons and has a subsequent effect on l-looking emotion that is accompature. Curiosity is a desire to explore, periences and new knowledge. Preaffect the evolution of goal-directed ings of others, suggest a strong coranced immunological functioning ions may have a protective effect on wascular, metabolic, and respiratory

(2005), the effects of positive emocancel the effects of negative emotive value, independent of negative te that the experience of positive immune system. Positive emotion healthy behavior and to seek help on may assist in helping one adapt ations. Nevertheless, the interface considered, at least relative to the disease, to be in its early stages of

neuropsychology is even less devellisease. Examination of the neuroonderance, to a fault, of cognition neuropsychological processes. For tion of the highly regarded journal focused on the role of emotion in , emotion, almost exclusively negadue to the acquisition of a brain came depressed as cognitive capacry to a dementing process such as ring research of Sperry (1982) with mpse into the right hemisphere. It latively "silent" due to the language inant) hemisphere, is directly assoseen unpublished or undistributed at least verbally) by male split-brain olicit films to the right hemisphere. ent empirical evidence that alterahead trauma) results in perceived ibition and, in other cases, negative

emotional changes such as emotional flatness or inability to express or understand emotional stimuli.

# THE EMPIRICAL INTERFACE OF HEALTH AND NEUROPSYCHOLOGY WITH EMOTION

This section focuses on the idea that emotion can be positive or negative and that such emotion can be either directly or indirectly associated with the disease process. As mentioned previously, the primary focus is on how this relationship expresses itself in diseases that are associated with or are a function of nervous system dysfunction.

Research on HIV/AIDS has produced a wealth of information that illustrates the close relationship between emotion and diseases that affect the nervous system (Kemeny et al., 1994). Recent studies have described the close link between immunological processes and emotion, especially in diseases of or affecting the nervous system. Marques-Deak, Cizza, and Sternberg (2005), described multiple neuroendocrine pathways, including the hormones of the hypothalamic-pituitary-adrenal (HPA), hypothalamic-pituitary-gonadal (HPG), hypothalamic-pituitary-thyroid (HPT), and the hypothalamic-growth hormone axes and how they interface with the immune system. The HPA axis is modulated by glucocorticoids. These substances suppress, enhance, and modulate the immune system. Thus, indirectly, emotion plays a pivotal role in immunity to disease and inflammation. Extreme or prolonged stress of the HPA axis results in extended activation of glucocorticoids, which, in turn, inhibit the immune system. Such an inhibition increases the likelihood of susceptibility to infection. Examples of diseases that are more likely to be developed or exacerbated include inflammatory arthritis, systemic lupus erythematosus, allergic asthma, and atopic dermatitis. Further, it was thought originally that glucocorticoids were only immunosuppressive. However, there is mounting evidence that they are important in immunomodulation and immunoenhancement as well, in a way similar to the dichotomy between negative and positive emotion.

The HPT axis hormones have been shown to directly stimulate immune cells. The hormones included thyroid-releasing hormone (tSH), triiodothyronine (T3), and thyroxine (T4). The effects of these thyroid hormones on immunity can result from either the HPT axis itself or from an interaction between the HPT and HPA axes. The HPG axis modulates the immune system in two ways. It directly affects the sex hormone effect on the immune cells. Indirectly, it does so through interactions between the HPG and HPA axes. The probability of this occurring is increased during periods of stress and may contribute to changes in one's susceptibility to autoimmune and inflammatory diseases as well as the expression of those diseases.

Major depressive disorder appears to cause a disruption of communication between the neuroendocrine and immune systems. Cohen, Doyle, Turner, Alper, and Skoner (2003) have reported that emotional style affects susceptibility to the common cold. The opposite also appears to be true. That is, a positive style of coping decreases the likelihood of disease or the expression of disease. This has especially been found in work with cardiovascular disease. Gallo, Ghaed, and Bracken (2004) reported on both risks and resiliency as well as social contexts in the interface between emotion, cognition, and coronary heart disease. Ray (2004) provided a provocative and comprehensive review on the topic. The title of the article is as descriptive of the contents as any paraphrasing of the material could be: "How the mind hurts and heals the body."

Two diseases that exhibit a close interface between emotion, immunological processes, and brain function are multiple sclerosis and HIV/AIDS. In multiple sclerosis, ample evidence suggests the existence of depression secondary to the development of the disease. However, a review of some of the findings indicates that much of the literature appears to confuse symptoms of depression with symptoms of physical, emotional, and cognitive fatigue. In general, more commonly seen is a deregulation of emotion, including, but not limited to, emotional flatness or even agnosia, where there is little understanding or interest in emotional information. In other cases, there is an emotional deregulation where there is little connection between the emotion and the social context. For example, an individual may cry over a particularly provocative commercial but may cognitively consider the act of crying to be foolish.

The HIV/AIDS literature is replete with information on the interface between emotion and the disease process. Sikkema, Hansen, Meade, and Lee (2005) reported that psychological health is related to disease progression, HIV-related symptoms, and even death. The researchers examined HIV-infected individuals who had suffered from the loss of a partner or other type of close friend or relative due to an AIDS-related death. The authors examined the possibility that coping group intervention could improve the lives of these individuals. Using the Functional Assessment of HIV Infection scale, they examined physical health status and symptomatology. Those in the intervention group showed a significant improvement in general health-related quality of life and in health issues/symptoms specifically associated with HIV/AIDS.

In a related study, Stein and Rotheram-Borus (2004) examined different coping styles: positive, passive, depressive withdrawal, and escapist. They examined the relationships among environmental stress, self-esteem, social support, coping style, AIDS symptoms, and CD4 count. Results indicated that CD4 counts were not related to coping styles. However, AIDS-related symptoms were predicted only by the passive coping style but not by the others.

the authors conclude that greater self-es sills, which, in turn, may lead to better cotheram-Borus (2002) found that emistress related to HIV/AIDS sympton fredict greater HIV/AIDS symptoms a grosse.

Reed, Kemeny, Taylor, Wang, and Viss with AIDS who scored high on realistic shan those who scored low. The research even unrealistic expectations, may increas Kemeny, Taylor, and Visscher 1999) report expectancies were associated with a greopment. Negative HIV-specific expectant the onset of prognostically relevant symasymptomatic HIV seropositive gay menare associated not only with a more rapit those diagnosed with AIDS, but also with those who had previously been asymptom

These findings have been reported by o reflect an intricate and bidirectional relation (e.g., Friedland, Renwick, & McColl, 199 Gruenewald, 2000; Weitz, 1989).

#### DEVELOPMENT OF THEORIES OF TH BETWEEN EMOTION AND DISEASE

The interface between emotion and di and evolving research literature. In the w mation have come several viable theories face. Futterman, Kemeny, Shapiro, and I researchers to postulate viable theories ! tions with disease. They postulate that phy nological capacity, and vice versa, were mood. Taylor and colleagues (2000) sug cerning the future, even unrealistic ones are associated with a slowing of the illn to have a protective psychological effect to extremely threatening or negative e encouraging positive emotion may be us sion of disease. Taylor and colleagues (2 probable routes by which emotional st habits that improve health (such as exe

#### D HEALTH

o cause a disruption of communicad immune systems. Cohen, Doyle, reported that emotional style affects to opposite also appears to be true. Sases the likelihood of disease or the property been found in work with cardiovasin (2004) reported on both risks and the interface between emotion, cogrup (2004) provided a provocative and title of the article is as descriptive of a material could be: "How the mind

face between emotion, immunological ble sclerosis and HIV/AIDS. In multiple existence of depression secondary ever, a review of some of the findings pears to confuse symptoms of depressional, and cognitive fatigue. In general, of emotion, including, but not limited where there is little understanding or her cases, there is an emotional deregbetween the emotion and the social y cry over a particularly provocative r the act of crying to be foolish.

with information on the interface tess. Sikkema, Hansen, Meade, and health is related to disease progreseath. The researchers examined HIV-from the loss of a partner or other in AIDS-related death. The authors oup intervention could improve the nctional Assessment of HIV Infecstatus and symptomatology. Those in tant improvement in general healthnes/symptoms specifically associated

ram-Borus (2004) examined differessive withdrawal, and escapist. They ronmental stress, self-esteem, social nd CD4 count. Results indicated that tyles. However, AIDS-related symptoping style but not by the others.

The authors conclude that greater self-esteem may lead to improved coping skills, which, in turn, may lead to better health outcomes. Leslie, Stein, and Rotheram-Borus (2002) found that emotional distress directly influenced distress related to HIV/AIDS symptoms. Emotional distress was able to predict greater HIV/AIDS symptoms and may make physical symptoms worse.

Reed, Kemeny, Taylor, Wang, and Visscher (1994) reported that gay men with AIDS who scored high on realistic acceptance died nine months earlier than those who scored low. The research suggests that positive expectation, even unrealistic expectations, may increase longevity. In a similar vein, Reed, Kemeny, Taylor, and Visscher 1999) reported that high scores on HIV-specific expectancies were associated with a greater likelihood of symptom development. Negative HIV-specific expectancies were significant predictors of the onset of prognostically relevant symptoms of AIDS among previously asymptomatic HIV seropositive gay men. In this study, negative expectations are associated not only with a more rapid progression toward death among those diagnosed with AIDS, but also with a more rapid onset of symptoms in those who had previously been asymptomatic.

These findings have been reported by other laboratories and thus appear to reflect an intricate and bidirectional relationship between emotion and disease (e.g., Friedland, Renwick, & McColl, 1996; Taylor, Kemeny, Reed, Bower, & Gruenewald, 2000; Weitz, 1989).

# DEVELOPMENT OF THEORIES OF THE INTERFACE BETWEEN EMOTION AND DISEASE

The interface between emotion and disease processes has yielded a robust and evolving research literature. In the wake of the emerging body of information have come several viable theories of how emotion and disease interface. Futterman, Kemeny, Shapiro, and Fahey (1994) were some of the first researchers to postulate viable theories linking positive and negative emotions with disease. They postulate that physiological changes affecting immunological capacity, and vice versa, were induced with positive and negative mood. Taylor and colleagues (2000) suggested that optimistic beliefs concerning the future, even unrealistic ones, may help protect one's health and are associated with a slowing of the illness process. Positive illusions seem to have a protective psychological effect that can be crucial in one's reaction to extremely threatening or negative events. Intervention in the form of encouraging positive emotion may be useful in slowing down the progression of disease. Taylor and colleagues (2000) posited that there are several probable routes by which emotional states affect disease. The practice of habits that improve health (such as exercise) or make health worse (such

58

as smoking or excessive alcohol consumption) can have direct and critical impact. In addition, appropriate use of healthcare services as well as the inclusion of social support and encouragement may have a positive effect. The authors indicate that, at present, there is no empirical support for these probable routes. They conclude, however, that, while the exact biopsychosocial pathways through which the protective effects of positive emotion take place are not yet known, there is evidence that they do, in fact, exist. In another attempt at developing a comprehensive theory of (especially positive) emotion, Taylor (1983 postulated that cognitive adaptation, including a positive attitude, may directly modulate the disease process.

Research linking positive emotion to long-term outcome is becoming increasingly common in the literature. Stone, Cox, Valdimarsdottir, Jandorf, and Neale (1987) reported that secretory IgA antibody is directly tied to daily mood. Further, there is evidence that positive emotional states result in long-term positive physiological changes.

Research by Cohen and Herbert (1996) indicates that negative emotional states are directly linked to physiological changes prognostic for illness and to the development of several chronic diseases. Specifically, in an earlier work, Herbert and Cohen (1993) reported that depression and anxiety are indisputably linked to the immune system.

Barefoot and colleagues (2000) reported that depressive symptoms were directly correlated with the development of coronary disease. Specifically, depression was closely tied to the existence of increased risks of coronary artery disease. Although the study was correlational, the findings focus specifically on negative emotion in the development of serious heart disease. However, no clear evidence has been reported, at least until recently, of the long-term effects of negative emotion and cardiovascular disease. Recent research by Morrill, Richardson, Keith, and Puente (in press) reported that the best predictor of morbidity 10 years after coronary artery bypass surgery is presurgical anxiety. Thus, the original theory of Friedman and colleagues with the development of theories associated with Type A behavior have slowly evolved to address a more specific relationship between emotion and disease. The theory proposed by contemporary workers in the field, such as Shelley, provide room for both negative (as in the case of the original research on cardiovascular disorders) and positive (as in the more recent research on HIV/ AIDS) emotion and the interplay of both in the development and progression of disease.

Alternative methods for changing immunity may also affect emotional status and, subsequently, disease expression. Molassiotis and Maneesakorn (2004) reported that quality of life and psychological status in individuals living with AIDS could be altered by the regular practice of meditation. The authors examined the interrelationship between anxiety, depression, coping,

mality of life. Emotion-focused r or quality of life, though not a deci

### CLUSION

espite increasing interest in the rel ast 100 years, the focus on research only over the last 25 years. That fo ept that emotion is often secondar tion hastens the development or evc ethora of research focused on emo wever, there is increasing evidence the dopment of disease. More recent res ption can have a protective impact or ce that emotion does not exist in a vo ears to occur. Specifically, cognitive h emotional ones to produce mediat Methodological issues remain to be ecially if it is self-reported. Further, rically defined, both by the research dies. The interface between paper-a ires of emotion, and more direct phy d levels and/or psychophysiological a closer connection between emotion rement of both.

Increasing evidence shows that emoti ct, the possibility exists that a Cartesi aproach to understanding how emotic aproach would be to consider that di ces of the same coin. In doing so, the cay be replaced with a continuum with ther. That alternative interpretation we aming or evolution of the two—that is, be that, when all is said and done, such may, as previously suggested, be two sid

#### OOL KIT FOR CHANGE

#### Perspective of the Healthcare Profe

1. The interplay between emotion and impact on the development and expre-

#### ) HEALTH

mption) can have direct and critical of healthcare services as well as the agement may have a positive effect. Here is no empirical support for these ver, that, while the exact biopsychocotective effects of positive emotion vidence that they do, in fact, exist. In rehensive theory of (especially positivat cognitive adaptation, including the disease process.

to long-term outcome is becoming Stone, Cox, Valdimarsdottir, Jandorf, y IgA antibody is directly tied to daily sitive emotional states result in long-

96) indicates that negative emotional l changes prognostic for illness and to eases. Specifically, in an earlier work, t depression and anxiety are indisput-

rted that depressive symptoms were ent of coronary disease. Specifically, tence of increased risks of coronary correlational, the findings focus speevelopment of serious heart disease. eported, at least until recently, of the and cardiovascular disease. Recent and Puente (in press) reported that ; after coronary artery bypass surgery 1 theory of Friedman and colleagues ted with Type A behavior have slowly onship between emotion and disease. workers in the field, such as Shelley, the case of the original research on in the more recent research on HIV/ h in the development and progression

mmunity may also affect emotional ssion. Molassiotis and Maneesakorn sychological status in individuals liveregular practice of meditation. The between anxiety, depression, coping,

and quality of life. Emotion-focused meditation was found to be related to higher quality of life, though not a decrease of AIDS symptoms.

#### CONCLUSION

Despite increasing interest in the relationship of emotion and disease over the last 100 years, the focus on research and clinical practice has evolved essentially only over the last 25 years. That focus has been almost exclusively on the concept that emotion is often secondary to a disease process and that negative emotion hastens the development or evolution of the disease process. As a result, a plethora of research focused on emotion as a negative mediator of disease. However, there is increasing evidence that emotion has a primary effect on the development of disease. More recent research has explored the idea that positive emotion can have a protective impact on disease. Further, there is additional evidence that emotion does not exist in a void from cognition. Indeed, the opposite appears to occur. Specifically, cognitive (or least perceptual) processes interact with emotional ones to produce mediating effects on disease.

Methodological issues remain to be resolved. Emotion is difficult to record, especially if it is self-reported. Further, emotion is broadly and often idiosyncratically defined, both by the researchers as well as the subjects involved in studies. The interface between paper-and-pencil measures, such as questionnaires of emotion, and more direct physiological measures, such as corticosteroid levels and/or psychophysiological recordings, may increase the likelihood of a closer connection between emotion and disease and the reliability of measurement of both.

Increasing evidence shows that emotion and disease are intractably related. In fact, the possibility exists that a Cartesian dualism may not be the most robust approach to understanding how emotion and disease are related. An alternative approach would be to consider that disease and emotion are merely different faces of the same coin. In doing so, the dualistic interpretation of mind and body may be replaced with a continuum with emotion at one end and disease at the other. That alternative interpretation would, however, not resolve the problem of timing or evolution of the two—that is, which one comes first. It could very well be that, when all is said and done, such questions are irrelevant because the two may, as previously suggested, be two sides of the same coin.

## **TOOL KIT FOR CHANGE**

## Perspective of the Healthcare Professional

1. The interplay between emotion and disease is subtle but will have a powerful impact on the development and expression of disease.

#### 60 PSYCHOLOGY, SPIRITUALITY, AND HEALTH

- 2. Negative emotion will increase the likelihood of a disease being expressed or make the symptoms more intense.
- 3. Positive emotion will have a protective impact and may assist in the amelioration of symptoms.
- 4. Positive emotions can be either explicit or implicit and may take some time to have an eventual impact on the disease process.
- 5. The perception of the patient may be more powerful than the reality of the situation.

#### Perspective of the Patient

- 1. Embrace the idea that the mind and the body are unified, especially when it comes to the disease process.
- 2. Accept the possibility that emotions, whether negative and/or positive, can impact disease.
- 3. Understand that negative emotions can both cause a decrease in overall health and increase the possibility of the disease process appearing or worsening.
- 4. Appreciate that positive emotions may have a critical impact on disease.
- Positive emotions are what make you happy and content, not what others believe should make you happy and content.

#### The Larger Perspective

- 1. Public healthcare policy has to understand the continuum between mind and body, especially with regard to disease.
- 2. Emotional issues need to be given parity with physical issues, especially in light of the continuum indicated in point 1.
- 3. Health and healthcare will improve substantially, especially for those in difficult circumstances (e.g., poverty) when this continuum is acknowledged and bridged.

#### REFERENCES

- American Medical Association. (2007). Current procedural terminology. Chicago: Author. Barefoot, J. C., Brummett, B. H., Helms, M. J., Mark, D. B., Siegler, I. C., & Williams, R. B. (2000). Depressive symptoms and survival of patients with coronary artery disease. Psychosomatic Medicine, 62(6), 790–795.
- Carver, C. S., Scheier, M. F., & Weintraub, J. K. (1989). Assessing coping strategies: A theoretically based approach. *Journal of Personality and Social Psychology*, 56(2), 267–283.
- Chesney, M. A., Darbes, L. A., Hoerster, K., Taylor, J. M., Chambers, D. B., & Anderson, D. E. (2005). Positive emotions: Exploring the other hemisphere in behavioral medicine. *International Journal of Behavioral Medicine*, 12(2), 50–58.
- Cohen, S., Doyle, W. J., Turner, R. B., Alper, C. M., & Skoner, D. P. (2003). Emotional style and susceptibility to the common cold. *Psychosomatic Medicine*, 65(4), 652–657.
- Cohen, S., & Herbert, T. B. (1996). Health psychology: Psychological factors and physical disease from the perspective of human psychoneuroimmunology. *Annual Review of Psychology*, 47, 113–142.
- Friedland, J., Renwick, R., & McColl, M. (1996). Coping and social support as determinants of quality of life in HIV/AIDS. AIDS Care, 8(1), 15-31.
- Friedman, H. S., & Booth-Kewley, S. (1987). The "disease prone personality": A metaanalytic view of the construct. *American Psychologist*, 42(6), 539–555.

- man, A. D., Kemeny, M. E., Shapiro, D physiological changes associated with in matic Medicine, 56(6), 499–511.
- L. C., Ghaed, S. G., & Bracken, W. S. (heart disease: Risk, resilience, and soc 28(5), 669–694.
- ert, T. B., & Cohen, S. (1993). Depress

  Psychological Bulletin, 113(3), 472-486.
- eny, M. E., Weiner, H., Taylor, S. E., Sch Repeated bereavement, depressed moo tive and seronegative gay men. *Health*
- gies, personal relationships, and emot parents living with HIV or AIDS. Jour
- ques-Deak, A., Cizza, G., & Sternberg disease susceptibility. Molecular Psychia
- Massiotis, A., & Maneesakorn, S. (2004). C of Thai people living with AIDS. Psych
- orrill, E., Richardson, E., Keith, J., & Puer of morbidity 10 years post coronary ar ogy in Medical Settings.
- 7, O. (2004). How the mind hurts and 29-40.
- ed, G. M., Kemeny, M. E., Taylor, S. E., & expectancies and AIDS-related bereasymptomatic HIV-positive gay men.
- sed, G. M., Kemeny, M. E., Taylor, S. E., V istic acceptance as a predictor of dec *Health Psychology*, 13(4), 299–307.
- Positive emotion and health: Going 1
- Seligman, M.E.P., Steen, T.A., Park, N., & I ress: Empirical validation of intervent
- sikkema, K. J., Hansen, N. B., Meade, C. S., related quality of life following a bereavement among HIV-infected m 991–1005.
- Sperry, R. W. (1982). Some effects of disce Reports, 2(5), 265–276.
- Stein, J. A., & Rotheram-Borus, M. J. (20 ciations in coping strategies and ph youth. *Psychology and Health*, 19(3),
- Stone, A. A., Cox, D. S., Valdimarsdottir, H that secretory IgA antibody is associa Social Psychology, 52(5), 988–993.
- Taylor, S. E. (1983). Adjustment to threate

  American Psychologist, 38(11), 1161-1

#### **ID HEALTH**

kelihood of a disease being expressed or

impact and may assist in the amelioration

t or implicit and may take some time to process.

more powerful than the reality of the

the body are unified, especially when it

ther negative and/or positive, can impact

both cause a decrease in overall health process appearing or worsening. ave a critical impact on disease. ppy and content, not what others believe

nd the continuum between mind and

with physical issues, especially in light

antially, especially for those in difficult atinuum is acknowledged and bridged.

Procedural terminology. Chicago: Author. Mark, D. B., Siegler, I. C., & Williams, urvival of patients with coronary artery -795.

989). Assessing coping strategies: A theoity and Social Psychology, 56(2), 267–283. ylor, J. M., Chambers, D. B., & Anderring the other hemisphere in behavioral 1 Medicine, 12(2), 50–58.

, & Skoner, D. P. (2003). Emotional style bosomatic Medicine, 65(4), 652–657.

logy: Psychological factors and physical honeuroimmunology. Annual Review of

Coping and social support as determi-Care, 8(1), 15-31.

"disease prone personality": A metabologist, 42(6), 539-555.

- Futterman, A. D., Kemeny, M. E., Shapiro, D., & Fahey, J. L. (1994). Immunological and physiological changes associated with induced positive and negative mood. *Psychosomatic Medicine*, 56(6), 499–511.
- Gallo, L. C., Ghaed, S. G., & Bracken, W. S. (2004). Emotions and cognitions in coronary heart disease: Risk, resilience, and social context. *Cognitive Therapy and Research*, 28(5), 669–694.
- Herbert, T.B., & Cohen, S. (1993). Depression and immunity: A meta-analytic review. *Psychological Bulletin*, 113(3), 472–486.
- Kemeny, M. E., Weiner, H., Taylor, S. E., Schneider, S., Visscher, B., & Fahey, J. L. (1994). Repeated bereavement, depressed mood, and immune parameters in HIV seropositive and seronegative gay men. *Health Psychology*, 13(1), 14–24.
- Leslie, M. B., Stein, J. A., & Rotheram-Borus, M. J. (2002). The impact of coping strategies, personal relationships, and emotional distress on health-related outcomes of parents living with HIV or AIDS. *Journal of Social and Personal Relationships*, 19(1), 45–66.
- Marques-Deak, A., Cizza, G., & Sternberg, E. (2005). Brain-immune interactions and disease susceptibility. *Molecular Psychiatry*, 10, 239–250.
- Molassiotis, A., & Maneesakorn, S. (2004). Quality of life, coping and psychological status of Thai people living with AIDS. *Psychology, Health and Medicine* 9(3), 350–361.
- Morrill, E., Richardson, E., Keith, J., & Puente, A. E. (in press). Anxiety as a determinant of morbidity 10 years post coronary artery bypass surgery. *Journal of Clinical Psychology in Medical Settings*.
- Ray, O. (2004). How the mind hurts and heals the body. American Psychologist, 59(1), 29-40.
- Reed, G. M., Kemeny, M. E., Taylor, S. E., & Visscher, B. R. (1999). Negative HIV-specific expectancies and AIDS-related bereavement as predictors of symptom onset in asymptomatic HIV-positive gay men. *Health Psychology*, 18(4), 354–363.
- Reed, G. M., Kemeny, M. E., Taylor, S. E., Wang, H. Y. J., & Visscher, B. R. (1994). Realistic acceptance as a predictor of decreased survival time in gay men with AIDS. Health Psychology, 13(4), 299–307.
- Richman, L. S., Kubzansky, L., Maselko, J., Kawachi, I., Choo, P., & Bauer, M. (2005). Positive emotion and health: Going beyond the negative. *Health Psychology*, 24(4), 422–429.
- Seligman, M.E.P., Steen, T. A., Park, N., & Peterson, C. (2005). Positive psychology progress: Empirical validation of interventions. *American Psychologist*, 60(5), 410–421.
- Sikkema, K. J., Hansen, N. B., Meade, C. S., & Lee, R. S. (2005). Improvements in health related quality of life following a group intervention for coping with AIDS-bereavement among HIV-infected men and women. *Quality of Life Research*, 14(4), 991–1005.
- Sperry, R. W. (1982). Some effects of disconnecting the cerebral hemispheres. *Bioscience Reports*, 2(5), 265–276.
- Stein, J. A., & Rotheram-Borus, M. J. (2004). Cross-sectional and longitudinal associations in coping strategies and physical health outcomes among HIV-positive youth. *Psychology and Health*, 19(3), 321–336.
- Stone, A. A., Cox, D. S., Valdimarsdottir, H., Jandorf, L., & Neale, J. M. (1987). Evidence that secretory IgA antibody is associated with daily mood. *Journal of Personality and Social Psychology*, 52(5), 988–993.
- Taylor, S. E. (1983). Adjustment to threatening events: A theory of cognitive adaptation.

  \*American Psychologist, 38(11), 1161-1173.

#### 62 PSYCHOLOGY, SPIRITUALITY, AND HEALTH

Taylor, S. E., Kemeny, M. E., Reed, G. M., Bower, J. E., & Gruenewald, T. L. (2000). Psychological resources, positive illusions, and health. *American Psychologist*, 55(1), 99–109.

Weitz, R. (1989). Uncertainty and the lives of persons with AIDS. *Journal of Health and Social Behavior*, 30, 270-281.

pter Four

# LTIMODAL IMA( D HEALTHCARE

an Rockefeller, PhD, llene A. S John Fox, CPT

# ICTURE IS WORTH A THOUSAL

magery is a term used to describe a de that underlies the holistic, synthe ad. As a mental thought process, im aething we see, hear, taste, smell, tou oct almost all physiologic control sys art rate, blood pressure, metabolic a secretion, sexual function, cortisol ponsiveness (Rockefeller, 2007; Rosa This chapter addresses imagery as a ssionals and patients in accessing the pagery modes, we address guided im magery.

#### **BUIDED IMAGERY**

Imagery has an ancient lineage for healify of cultures. The benefits of healifhinese, Japanese, European, Native Ang cultures (Achterberg, Dossey, & Kandeed, according to Micozzi (2004),